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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shih-Chieh Hung et al.

Art Unit : Unknown

Serial No.: 09/761,893

Examiner: Unknown

Filed

: January 17, 2001

Title:

: METHOD OF ISOLATING MESSENCHYMAL STEM CELLS

Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL OF PRIORITY DOCUMENT UNDER 35 USC § 119

Applicants hereby confirm their claim of priority under 35 USC § 119 from Taiwan Application No. 089121676 filed October 17, 2000.

A certified copy of the application from which priority is claimed is submitted herewith. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

g. No. 34,053

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茲證明所附文件,係本局存檔中原申請案的副本,正確無訛, 其申請資料如下

This is to certify that annexed is a true copy from the records of this office of the application as originally filed which is identified hereur

西元 2000 年 Application Date

案 號 089121676 Application No.

請 台北榮民總醫院

Applicant(s)

CERTIFIED COPY OF

Director General

發文日期:、西元 2001 İssue Date

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IN THE UNITED STATES PATENT OFFICE

APPLICANT: Shih-Chieh Hung	ART UNIT: 1636
APPLICATION No.: 09/761,893	EXAMINER: Jennifer Dunston
FILING DATE: 01/17/2001	

FOR: Method of isolating mesenchymal stem cells

Attn: ART UNIT 1636

Honorable Commissioner of Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Date: 2/18/2009

AMENDMENT

In response to the last Office Communication mailed on 12/8/2008 and Office communication mailed on 02/12/2009, please amend the above-identified application as following.

IN THE CLAIMS:

A: Please amend the claims as follows:

This listing of claims will replace all prior versions and listings of claims in the application:

Listing of Claims:

- 1. (Currently amended) A method for isolating mesenchymal stem cells from bone marrow aspirate, comprising:
- (a) providing a cell mixture comprising mesenchymal stem cells and other cells in a culture medium, said the culturing medium containing factors that stimulate MSCs growth without differentiation and allowing for the selective adherence of only the mesenchymal stem cells to substrate surface;
- (b) seeding and culturing the cell mixture in a culture device comprising an upper plate with pores and a lower plate base, said the upper plate, made of the mesenchymal stem cell adhering material, where mesenchymal stem cells adhered and are cultured, and the lower plate base, where the other small-sized haematopoietic cells adhered following passing through the pores in the upper plate, said culturing with medium containing factors that stimulate mesenchymal stem cells growth without differentiation and allow for the selective adherence of only the mesenchymal stem cells to the upper plate surface; and
 - (c) removing non-adherent cells on the upper plate by changing medium.
 - 2. (Canceled)
 - 3. (Canceled)
- 4. (Previously presented) The method as claimed in claim 1, wherein the cell mixture comprises mammalian mesenchymal stem cells.
 - 5. (Canceled)
- 6. (Previously presented) The method as claimed in claim 4, wherein the cell mixture comprises human mesenchymal stem cells.
 - 7. (Canceled)
 - 8. (Canceled)
- 9. (Previously presented) The method as claimed in claim 1, wherein the mesenchymal stem cells can differentiate into tissues comprising bone, adipose, or cartilage.
 - 10. (Previously presented) The method as claimed in claim 1, wherein the

mesenchymal stem cells are characterized by CD34-.

- 11. (Previously presented) The method as claimed in claim 1, wherein the culture medium is 10% fetal bovine serum-supplemented Dulbecco's modified Eagle's medium containing 1 g/L of glucose.
- 12. (Withdrawn) An isolated mesenchymal stem cell recovered by the method as claimed in claim 1, which has the capability of self-renewal and pluripotent differentiation.
- 13. (Withdrawn) The mesenchymal stem cell as claimed in claim 12, which can differentiate into tissue comprising bone, adipose, or cartilage.
- 14. (Withdrawn) The mesenchymal stem cell as claimed in claim 12, which is characterized by CD34-.
- 15. (Withdrawn) A composition comprising the mesenchymal stem cell as claimed in claim 12 and a culture medium, wherein the medium expands the mesenchymal stem cell.
- 16. (Withdrawn) The composition as claimed in claim 15, wherein the mesenchymal stem cell is characterized by CD34-.
- 17. (Withdrawn) The composition as claimed in claim 15, wherein the medium comprises DMEM-LG medium containing 10% fetal bovine serum.
- 18. (Withdrawn) A pharmaceutical composition comprising the mesenchymal stem cell as claimed in claim 12 and a pharmaceutically acceptable carrier, wherein the mesenchymal stem cell is present in an amount sufficient to serve as tissue replacement or gene therapy for tissue damaged by age, trauma, and disease.
- 19. (Withdrawn) A pharmaceutical composition as claimed in claim 18, wherein the mesenchymal stem cell can differentiate into tissues comprising bone, adipose, or cartilage.
- 20. (Withdrawn) A composition comprising as claimed in claim 18, wherein the mesenchymal stem cell is characterized by CD34-.

- 21-22. (Canceled)
- 23. (Canceled)
- 24-31. (Canceled)
- 32. (Withdrawn) the method as claimed in claim 1, further comprising, after step (b), a step of removing cells not adhered on the plate by changing a culture medium.
- 33. (Previously presented) The method as claimed in claim 1, wherein said pores are about 0.4 to 40 microns in diameter.
- 34. (Currently amended) $\underline{\mathbf{t}}$ The method as claimed in claim 1, wherein said the mesenchymal stem cell adhering material is plastic.
- 35. (Currently amended) \underline{t} The method as claimed in claim 1, wherein said the mesenchymal stem cells cultured until confluence.
- 36. (Currently amended) <u>t</u>The method as claimed in claim 35, <u>said further comprising</u> recovering the mesenchymal stem cells cultured until confluence for further re-plating to expand the mesenchymal stem cells.
- 37. (Currently amended) <u>*The method as claimed in claim 36</u>, wherein said recovering the mesenchymal stem cells from the upper plate <u>is</u> by using trypsin-EDTA.
- 38. (Currently amended) <u>the method as claimed in claim 36</u>, <u>said further comprising</u> re-plating the cells <u>to expand the mesenchymal stem cells</u> at a density of 4.times.10.sup.3-10.sup.4/cm.sup.2.

REMARKS

- 1. Based on the Office Action and Office communication, the applicants have amended the claims to overcome the claim objection. The Claim 1 has been amended to add the limitation of "small-sized <u>haematopoietic</u> cells" [0029], which contain red blood cells, platelets and leukocytes. The claim 1 is also amended to specify the medium in step (b) to describe the culturing process.
- 2. Claim 32 should be withdrawn in that the step of removing cells not adhered on the plate by changing a culture medium has been added to Claim 1.
- 3. As the applicants mentioned, Caplan et al. used LeukosorbTM filter to remove fat, red blood cells and plasma. (column 46 line 14~15) However, the LeukosorbTM or its derivatives, which adsorb or trap leukocytes, can not remove leukocytes, as pointed by one patent (WO/2005/042784: DEVICE AND METHOD FOR HIGH-THROUGHPUT QUANTIFICATION OF MRNA FROM WHOLE BLOOD).

"Three anticoagulants were tested: ACD, EDTA, and heparin, with heparin resulting in the highest percent of leukocyte retention. While Leukosorb membranes have been used for ACD blood in transfusion, approximately 15-40% of leukocytes passed through even when four layers of membranes were simultaneously used. EDTA blood was tested; the capacity and leukocyte retention was found to be similar to those for ACD. Most notably, however, was that 100% of the leukocytes in heparin blood were trapped on the Leukosorb membranes. The capture of 100% of leukocytes from heparin blood shows the reliability of quantification of mRNA using the present invention. These data indicate that the use of heparin blood is most suitable for the precise quantification of mRNA, whereas ACD blood is useful for applications requiring larger volumes of blood and less quantitative results." (cited the WO/2005/042784)

As indicated by Caplan et al., heparin was used (column 45 line 55-57, column 46 line 49). The percentage of leukocyte captured by LeukosorbTM will be 100%. Therefore, a monoclonal antibody separation is then needed to separate mesenchymal stem cells. (column 46 line 35~61)

The LeukosorbTM or its derivatives trap leukocytes. However, on the opposite, the application used upper plate with pores to remove small-sized <u>haematopoietic</u> cells including leukocyte. The LeukosorbTM traps leukocytes

would teach away this application to remove leukocytes. Therefore, it would have not been obvious to one of ordinary skill in the art at the same time the upper plate taught by this application was made by modification from the Leukosorb used by Caplan et al.

Since the small-sized <u>haematopoietic</u> cells are added in Claim 1, it would not be obvious over Caplan et al's LeukosorbTM filter.

4. In the Supreme Court's KSR case, the patented technology combined an adjustable throttle pedal for an automobile with an electronic sensor to measure the pedal depression. Both of these features were in the prior arts. One of the prior arts claimed an adjustable pedal like the patent in litigation. Another patent disclosed electronic calibration features similar to patented technology in suit.

However, as the Office Action indicated, Rieser et al teach that bone substitute plate (7) serves two functions: it is a permeable wall for the cell space (1), and it provide a substrate for adherence of cells. Moreover the abstract of Rieser et al clearly indicated that "The cells settle on such a plate (7) and the cartilage tissue growing in the cell space (1) grows into pores or surface roughness of the plate, whereby an implant forms which consists of a bone substitute plate (7) and a cartilage layer covering the plate and whereby the two implant parts are connected to each other in positively engaged manner by being grown together."

Therefore, the applicants believe that this application is quite different from that of the Supreme Court's KSR case.

4. The plate taught by Rieser et al. is made of hydroxyapatite. Hydroxyapatite (calcium phosphate) is the inorganic matrix of bone tissues. Mesenchymal stem cells when cultured in expansion medium without any osteogenic differentiation additives will develop to osteoblast (Abstract, in Journal of Cellular and Molecular Medicine 12:281-291, 2008). Because bone cells such as osteoblasts will lay down osteoid (contains calcium phosphate) and transform into osteocytes embedded in mineralized bone matrix (Abstract, in Dev Dyn. 235:176-190, 2006), mesenchymal stem cells cultured on plate used by Rieser et al will differentiate into osteoblasts and buried themselves in mineralized bone matrix such as hydroxyapatite even in the medium used for expansion. Consequently, the differentiated mesenchymal stem cells will not maintain as

undifferentiated cells and will be difficult for isolation. Therefore, the difference between this application and the prior arts cited would not be obvious. As described in Claim 1, this application requires the medium containing factors that stimulate mesenchymal stem cells growth without differentiation and allowing for the selective adherence of only the mesenchymal stem cells to upper plate.

"Indeed, cells on calcium phosphate without osteogenic differentiation additives developed to osteoblasts as shown by increased ALP activity and expression of osteogenic genes, which was not the case on tissue culture plastic." (Cited in Calcium phosphate surfaces promote osteogenic differentiation of mesenchymal stem cells. Journal of Cellular and Molecular Medicine 12:281-291, 2008)

"During osteogenesis, osteoblasts lay down osteoid and transform into osteocytes embedded in mineralized bone matrix." (Cited in <u>Buried alive: how osteoblasts</u> become osteocytes. Dev Dyn. 235:176-190, 2006)

5. Regarding the obvious rejection over Caplan et al. in view of Prockop et al. and Mastui et al., the Office Action indicated that "Caplan et al. do not teach the method of isolating mesenchymal stem cells where the mixed population of cells in medium is seeded into a culture device..." It should be stressed here that US patent 5811094 (Caplan et al.) and 4871674 (Matsiu et al.) were issued on September 2, 1998 and October 3, 1989. However, up to the application date of US patent 7374937, October 25, 2000, Prockop et al. still described that "That is, prior to the disclosure provided herein, most stem cells were difficult to isolate and to expand in culture (i.e., it was difficult to induce them to proliferate in sufficient number)." (Column 11 lines 36-39) and "Another difficulty encountered using mesenchymal stem cells cultured using prior art culture/expansion methods is that mesenchymal stem cells produced using such methods retain reduced differentiative capacity." (column 11 lines 47-50)

This foreign priority date of this application is October 17, 2000, that was a week earlier than the application date of Prockop et al. As sated by Prockop et al., most stem cells were difficult to isolate and to expand in culture. Especially, none of the references cited isolates and cultures mesenchymal stem cell in the same time. Therefore, it would not have been obvious to one of ordinary skill in the art to modify those references cited before October 17, 2000.

In sum, not only the steps of this application are different from the prior arts, but also there are no reasons to find this application in light of the teachings of the references. Accordingly, this application should be placed in condition of allowance. An early Notice to this effect is respectfully expected.

Respectfully submitted:

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